SOCIETY OF PLASTICS ENGINEERS
COLOR & APPEARANCE DIVISION
Board of Directors Meeting Minutes

Date: August 11, 2009
Location: Renaissance Schaumburg, IL

Attendance

Present:
Aumann, Scott
Balthazar, Earl
Bodi, Alan
Button, Hal
Cameron, Joe
Charvat, Bob
Davis, Sandra
Ehr, Sharon
Esker, Steve
Figaniak, Jim
Ford, Ed
Goldstein, Steve
Heitzman, Scott
Kawasaki, Kenichi
Kennedy, Howard
Ladson, Jack
Lavieri, Frank
Mulholland, Bruce
O'Dwyer, Jim

Absent:
Rangos, George
Reid, Austin
Reid, Sharyl
Reinicker, Roger
Smeltzer, Ann
Suthers, Johnny
Tyler, Mark
West, Brian

* written notice of absence

Guests:
Heitzman, Scott
Kawasaki, Kenichi
Kennedy, Howard
Ladson, Jack
Lavieri, Frank
Mulholland, Bruce
O'Dwyer, Jim

Upcoming Board Meetings:
2009 RETEC BOD Meeting Oct 21
2010 Winter Meeting BOD Meeting TBD
TUESDAY AGENDA SUMMARY

<table>
<thead>
<tr>
<th>Time</th>
<th>Location</th>
<th>What</th>
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<tbody>
<tr>
<td>7:00 – 8:00</td>
<td>Knowledge Zone</td>
<td>Continental Breakfast</td>
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<tr>
<td>8:00 – 2:00</td>
<td>Connection</td>
<td>BOD Meeting</td>
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<tr>
<td>10:00–10:15</td>
<td>Knowledge Zone</td>
<td>Coffee Break</td>
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<tr>
<td>12:00 – 12:30</td>
<td>Knowledge Zone</td>
<td>Working ‘Canvas Lunch’</td>
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Meeting Courtesy
- No talking when others are speaking to the group
- Cell phones off/silent
- Laptops / Notebooks closed (except for Secretary/Presenters)
- No texting/emailing via Blackberries/Smart phones/etc.

BOARD OF DIRECTORS MEETING

- Welcome, Opening Remarks, Announcements – H. Kennedy
- Anti-Trust Statement – H. Kennedy
- Secretary’s report – S. Heitzman / J. Figaniak
- Treasurer’s Report- B. Mulholland
- Councilor’s report – S. Davis
- ISPE updates/comments-Tricia McKnight (tentative)

Committee Reports:
- Technical Program
  - **ANTEC** TPC - Bruce Mulholland
    - ANTEC 2009 (Chicago) - Roger Reinicker / Tracy Philips
    - ANTEC 2010 (Orlando) - Jim O’Dwyer/Sharyl Reid
    - ANTEC 2011 (Boston) - Jack Ladson
    - ANTEC 2012
  - **RETEC** Technical Program Committee - Sandy Davis
    - RETEC 2009 (Savannah) – Scott Aumann
    - RETEC 2010 (Nashville) - Brian West
    - RETEC 2011/13 (Schaumburg/Indianapolis) – Sharon Ehr
    - RETEC 2012 (Louisville)
    - RETEC 2013 (Indianapolis/Schaumburg)
- Strategic Planning – Howard Kennedy
- Communications-
  - Website/Internet-Joe Cameron
  - Newsletter-Jamie Pryz/Sharyl Reid
  - CAD Biz Card Prototype/Quote Proposal-Ann Smeltzer
Welcome, Opening Remarks – H. Kennedy
-Howard read the following antitrust statement:

The meeting referenced above will be governed by the Anti Trust Act Statement listed as follows:

SOCIETY OF PLASTICS ENGINEERS
ANTITRUST GUIDELINES

It is an SPE Policy that there can be:

1. No discussion among members which attempts to arrive at any agreement regarding prices, terms or conditions of sale, distribution, volume, territories, or customers;

2. No activity or communication which might be construed as an attempt to prevent any person or business entity from gaining access to any market or customer for goods or services or any business entity from obtaining services or a supply of goods;

3. No activity or communication, which might be construed as an agreement to refrain from purchasing or using any materials, equipment, services or supplies of or from any supplier;
Or any other activity which violates antitrust or other applicable laws aimed at preventing unfair competition.

- Howard introduced our newest member, Alan Bodi of Polyone. All members introduced themselves.

**Secretary Report** – S. Heitzman/J. Figaniak
- May 2009 Minutes – Austin made a motion to approve, Earl second, motion passed.
- Scott circulated contact information updates and roll call.

**Treasurer’s Report** – B. Mulholland

- Joe made a motion to approve the following two reports, Steve G. second, motion passed:

**Color and Appearance / SPE**
Treasurer's Report
June 30, 2009

TOTAL BEGINNING BALANCE as of 04/30/09 $73,619.16

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<tr>
<th>Deposits:</th>
<th>Source</th>
<th>Type</th>
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<tr>
<td>FifthThird Bank</td>
<td>May interest</td>
<td>$0.22</td>
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<tr>
<td>Vanguard</td>
<td>May interest</td>
<td>$16.14</td>
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<tr>
<td>FifthThird Bank</td>
<td>June interest</td>
<td>$0.22</td>
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<tr>
<td>Vanguard</td>
<td>June interest</td>
<td>$17.79</td>
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<tr>
<td>Newsletter</td>
<td>Newsletter Sponsorships</td>
<td>$2,900.00</td>
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TOTAL DEPOSITS $2,934.37

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<tr>
<th>Expenses:</th>
<th>Check#</th>
<th>Assignee</th>
<th>Purpose</th>
<th>Amount</th>
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<tr>
<td>452</td>
<td>SPE</td>
<td>ANTEC Student Travel</td>
<td>$2,000.00</td>
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<tr>
<td>453</td>
<td>E-xyn</td>
<td>Elections</td>
<td>$510.84</td>
<td></td>
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<tr>
<td>454</td>
<td>Peacock Graphics</td>
<td>Spring issue</td>
<td>$1,425.00</td>
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<tr>
<td></td>
<td>FifthThird Bank</td>
<td>Service charge</td>
<td>$25.00</td>
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<tr>
<td></td>
<td>FifthThird Bank</td>
<td>Service charge</td>
<td>$25.00</td>
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TOTAL EXPENSES: $3,985.84

TOTAL ENDING BALANCE: $72,567.69
PENDING EXPENSES:
Reggie Dawes $100.00

BALANCE AFTER PENDING EXPENSES: $72,467.69

6/30/09 Endowment Fund Balance $181,379.67

Respectfully submitted by Bruce Mulholland

SOCIETY OF PLASTICS ENGINEERS ANNUAL FINANCIAL REPORT
COLOR AND APPEARANCE DIVISION

Annual Financial Report for Period Beginning July 1, 2008 to June 30, 2009

<table>
<thead>
<tr>
<th>Starting Balance on July 1st</th>
<th>Actual</th>
<th>Budget</th>
<th>Variance</th>
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<tr>
<td>(cash, checking, savings, investments)</td>
<td>$371,090.47</td>
<td>$371,090.47</td>
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**INCOME**

<table>
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<th>Description</th>
<th>Actual</th>
<th>Budget</th>
<th>Variance</th>
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<tbody>
<tr>
<td>SPE Rebate</td>
<td>0.00</td>
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<tr>
<td>Interest &amp; Dividends</td>
<td>1,262.56</td>
<td>2,500.00</td>
<td>(1,237.44)</td>
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<tr>
<td>Monthly Meetings</td>
<td>0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Board Meetings</td>
<td>0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>RETEC Receipts</td>
<td>33,723.77</td>
<td>62,000.00</td>
<td>(28,276.23)</td>
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<tr>
<td>Educational Programs</td>
<td>0.00</td>
<td></td>
<td></td>
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<tr>
<td>Newsletter/Web-site Sponsorships</td>
<td>12,799.99</td>
<td>9,500.00</td>
<td>3,299.99</td>
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<tr>
<td>Holiday Party</td>
<td>0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Golf Outing</td>
<td>0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Spouses Night</td>
<td>0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Excess Drayage from CAD RETEC 2008</td>
<td>294.00</td>
<td></td>
<td>294.00</td>
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<tr>
<td>Other: Transfer from Endowment Fund</td>
<td>20,000.00</td>
<td>20,000.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Other: Endowment fund market fluctuation</td>
<td>(104,901.13)</td>
<td></td>
<td>(104,901.13)</td>
</tr>
<tr>
<td>Other: DVD Sales</td>
<td>3,575.00</td>
<td>0.00</td>
<td>3,575.00</td>
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<tr>
<td><strong>Total Income (add lines 2-15)</strong></td>
<td>($33,245.81)</td>
<td>$94,000.00</td>
<td>($127,245.81)</td>
</tr>
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</table>

**EXPENSES**

<table>
<thead>
<tr>
<th>Description</th>
<th>Actual</th>
<th>Budget</th>
<th>Variance</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Office Expenses</td>
<td>1,244.39</td>
<td>2,500.00</td>
<td>1,255.61</td>
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<tr>
<td>Monthly Meetings</td>
<td>0.00</td>
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<tr>
<td>Board Meetings</td>
<td>17,994.85</td>
<td>11,000.00</td>
<td>(6,994.85)</td>
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<tr>
<td>RETEC (seed money)</td>
<td>0.00</td>
<td></td>
<td></td>
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<tr>
<td>Educational Programs (Internet)</td>
<td>22,376.78</td>
<td>18,000.00</td>
<td>(4,376.78)</td>
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<tr>
<td>Newsletter Printing/Mailing (4 issues)</td>
<td>12,787.27</td>
<td>18,000.00</td>
<td>5,212.73</td>
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<tr>
<td>Holiday Party</td>
<td>0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Golf Outing (non-RETEC)</td>
<td>0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Spouses Night</td>
<td>0.00</td>
<td></td>
<td></td>
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<tr>
<td>Awards</td>
<td>2,188.20</td>
<td>3,000.00</td>
<td>811.80</td>
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<tr>
<td>Scholarships/Grants</td>
<td>25,000.00</td>
<td>35,000.00</td>
<td>10,000.00</td>
</tr>
<tr>
<td>ANTEC Expenses</td>
<td>2,000.00</td>
<td>2,000.00</td>
<td>0.00</td>
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<tr>
<td>Councilor Travel</td>
<td>1,500.00</td>
<td>1,500.00</td>
<td>0.00</td>
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<tr>
<td>Other: Student Travel</td>
<td>2,000.00</td>
<td>2,000.00</td>
<td>0.00</td>
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<tr>
<td>Other: Chairperson's Discretionary Fund</td>
<td>305.81</td>
<td>500.00</td>
<td>194.19</td>
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</table>

| Total Funds Available (1 + 16) | $337,844.66 | $465,090.47 | ($127,245.81) |
Total Expenses (add lines 18 - 33) | $83,897.30 | $94,000.00 | $10,102.70

Ending Balance (Line 17 minus Line 34) | $253,947.36 | $371,090.47 | ($117,143.11)

| Section/Division | Checking acct (A) | $7,074.40 |
| | Savings acct (B) | $2,626.56 |
| | Investments (D) | $62,866.73 |
| | Endowment (E) | $181,379.67 |
| | TOTAL (G) | $253,947.36 |

Distribution: Copy to SPE Executive Office by November 15th
Copy to Section/Division Board of Directors

- Austin noted that he will get the Audit Committee together to review the Annual Report

Councilor’s Report – S. Davis

Report on SPE Council to Color and Appearance Division membership.

The Council meetings at ANTEC were held on June 20-21 in Chicago, IL. The topics covered included a number of by-law changes, state of the society and conferencing.

By-Law changes: At the Fall 2008 Council meeting, a number of by-law changes received their first reading. Since then, they have been published in Plastics Engineering. These proposed changes were voted upon by the Council and passed. Most importantly, a number of these changes allow for electronic participation and voting at Council meetings. Additionally, a proposed change to the by-laws to allow Special Interest Groups to nominate Fellows and Honored Service Members received its first reading.

State of the Society: Financially, 2009 has continued to be a difficult year for SPE. However, incomes appear to have stabilized, although at a reduced level. The sources of income have changed from conferencing to publishing. Membership continues to decline. To address the reduced income, there have been a number of actions on the part of the organization, including further staff reductions and sale of the SPE building.

SPE Headquarters Location: The offices of SPE have moved. The PO Box and telephone numbers have not changed. The street address is: 13 Church Hill Road, Newtown, CT 06470

Conferencing:
- ANTEC 2009 – ANTEC 2009 was held in conjunction with NPE in Chicago. Attendance for ANTEC and the NPE seminar program was 1487. This only counts the people actually registering for ANTEC or a seminar. The ANTEC registration for the past several years has also included people attending the concurrent exhibit. If the 2009 ANTEC number is compared to previous years
without exhibition registrants, the number is consistent. The ANTEC 2009 technical program included 124 technical sessions with over 700 papers.

- **ANTEC 2010** – Next year’s ANTEC will be held May 16-20 in Orlando, FL.
- **TopCons** – In the first half of 2009, there have been 4 TopCons. Attendance has been down slightly, with the exception of GPEC which experienced an increase in attendance.
- **Virtual Conferencing** – The planned first virtual conference was postponed earlier this year. It has been repositioned as the Virtual Processors Conference, scheduled for October 1-2, 2009.
- **EUROTEC** – Originally scheduled for fall 2009, this has been postponed until fall 2011.

Respectfully submitted,

Sandra Davis

- Austin added that the ISPE Executive Committee is considering a regional cycle to ANTEC and staffing reductions to reduce costs. Existing direct contacts at ISPE are all still there.

**ISPE updates/comments** – Group - ISPE focus is more members

**Committee Reports**

**Technical Program**

**ANTEC TPC** – B. Mulholland

**ANTEC 2009 (Chicago)** – R. Reinicker/T. Philips
- Lost keynote speaker, attendance 35-40 people, 3 commercial papers. New service provider for papers. Suggested allowing more time during sessions for Keynote Speakers to speak.

**ANTEC 2010 (Orlando)** – J. O’Dwyer/S. Reid
- 6 paper commitments to date. Bruce coordinates moderators. Need to plan e-blasts and newsletters and other marketing with T. Philips.

**ANTEC 2011 (Boston)** – J. Ladson – no report

**ANTEC 2012** – no location or chair

**RETEC TPC** – S. Davis

**RETEC 2009 (Savannah)** – S. Aumann – Oct. 17-21
- 30% room commitment, not alarmed. R. Charvat’s tutorial scheduled, Tabletops ahead of previous years, full load of technical papers but still missing some.
- Technical program – Sharon will get Omni information for presenters to send larger files.
RETEC 2010 (Nashville) – B. West
- Contract with Renaissance nearly worked out.
- Dates are 9/14-16 with “half-full-half” day format.
- Austin suggested using keynote speakers as attractions for this schedule

RETEC 2011 – S. Ehr
- Sharon made a motion to have the 2011 RETEC at the Schaumberg Renaissance 9/21-23/2011, Austin second, motion passed.
- Discussion around lack of walkable attractions but may be addressed with shuttles or more advance planning and communication to participants

RETEC 2012 (Louisville) – no report
RETEC 2013 – Discussion about possibility of Indianapolis and industrial/destination sites. Decided to pick up at next Site and Theme meeting.

Strategic Planning – H. Kennedy
- Cyber RETEC still in future plans for the group. Discussion around the recording of papers, how to use, copyright and reproduction issues. Will seek ISPE guidance.
- ACTION: Ann to take care of release form for the video of papers at RETEC, coordinate with Earl by 9/09.

Communications – T. Philips
Newsletter – J. Przybylski
- Newsletter nearly complete. Circulated contact information for board members to be updated

Website – J. Cameron
- Get with Jeremy to improve editing features, and next looking at individual content. Questions about the toolbox, how to use, and what is in there. Joe will look at toolbox.

Education – S. Goldstein
- No report filed

International – B. West
- No new information to report

Endowment – J. Suthers
- will not be issuing scholarships this year based on fund’s performance until we get the endowment back to $250K

Awards – S. Goldstein/R. Reinicker
- Steve submitted the following:
  Roger taking over awards committee.
Terry Golding Outstanding Achievement Award: Nominations and Voting at Summer BOD to be presented at RETEC 2009.

The following Awards will be presented in 09
2009 Terry Golding Outstanding Achievement Award
Tracy Phillips 2008-2009 CAD Chair, Clock and lapel pin
2009 ANTEC Chair polymer Tower
2008 RETEC Chair Polymer Tower
Howard Kennedy Lapel Pin Chair
$50 gift Certificates for members completing their terms in 2009
Howard Kennedy 2008-2009 CAD Chair-elect
Earl Balthazar 2008-2009 Financial Chair
Scott Heitzman 2008-2009 Secretary
Gift Cards for the Out-going Board Members
Outstanding Paper Awards (plaque and $500 honorarium)
2008 RETEC, Mike Fye 2009 ANTEC
2009 ANTEC Best Paper under discussion on whether or not commercial paper can be issued best paper

Roger reported that Tad Finnegan had the Outstanding Paper for ANTEC 2009, titled “Strategies for Replacing Lead and Chrome-Based Pigment in Synthetic Turf”

Membership – R. Reinicker
- Membership trend continues downward, like ISPE
- Jack noted grassroots effort at local Phila section has result in good attendance at bi-weekly meetings, however not sure if people are joining ISPE.
- Avg age of membership is high, and group may not be backfilling with younger member.
- Jack will review and update membership email as best as possible.
- Roger submitted the following reports:
Public Interest – B. Mulholland (part of membership now)
- Survey for RETEC underway. Questions will revolve around virtual meeting topics.
- Jack solicited questions for the survey to be directed to him via email.
- Tracy has created Twitter, Myspace, LinkedIn, and Facebook accounts for the group

Color Advisory Group – B. West
- SAE J1545 up for review

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<td>958</td>
<td>932</td>
<td>884</td>
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<td>450</td>
<td>445</td>
<td>356</td>
<td>494</td>
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Dec 2008 2009 2009
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<th>July</th>
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- SAE J1545 up for review
- ASTM is reviewing CMC equations and D308

**Old Business** – All
- reviewed action items from previous meeting
- copy of Action items sent in a separate document

**New Business** – All
- Joe brought up the subject of wireless vs Ethernet cable at this hotel, which led to a discussion that it could be a sponsorship opportunity for the RETEC
- Bob made the following two motions:
  “Move #1 (Second Austin, Approved as presented 8-11-2009)
  I move that, "The CAD donates $10,000.00 to The Terra College Foundation with $5000.00 delivered to the Terra Collage Foundation at once and the remaining $5000.00 delivered after the Fall RETEC dependent upon the CAD Treasurer's review of the RETEC's success and/or the financial condition of the CAD's ability to do so. The Treasurer's decision will be final.”

  Move #2 (Second Johnny, Approved as corrected 8-11-2009)
  “I move any available past, current and/or future CAD Terra College Foundation Endowment Contributions currently restricted to "Coloring of Plastics" activities, at the College, be further restricted thus if at any time in the future the College retires and/or terminates any significant portion and/or all of the "Coloring of Plastics" Program(s) any remaining CAD Endowment Funds in the Terra Collage Foundation coffers be returned immediately to the CAD Treasurer.”

- Austin noted that the Audit Committee reviewed the 6/30/09 Annual Report submitted by Bruce Mulholland and approved it.
- Bruce stated that the Detroit Color Council would like to do a networking reception in November 2009 if CAD is interested, perhaps as a sponsor.
- Tracy noted that all solicitations for the Site and Theme Committee should go to Sandy (ie hotels, tourism boards, etc).
- Jack made the following motion:
  “The Philadelphia SPE Section will use the SPE CAD logo on advertising for their upcoming technical meeting. The local section agrees to abide by the SPE CAD non-commercial guidelines for papers and presentations.” Johnny seconded, motion passed with 4 opposed (Sandy, Brian, Bruce).

- Jamie made a motion to end the Summer Meeting, second by Frank, motion passed.

**Next Meeting:** October 21, 2009 RETEC Savannah, time and location to be announced

Minutes respectfully submitted,

Jim Figaniak
Secretary